



Risk Attention Report (29 Jul 2022 – 29 Aug 2022)

Atlantis

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Print Date: 06-Oct-2022

Summary (29 Jul 2022 – 29 Aug 2022)

Risks Have Increased
(During Reporting Period)



Risks Created
(During Reporting Period)



Risks with No Controls
(During Reporting Period)



Actions Due for Completion
within 30 Days



Risks Have Decreased
(During Reporting Period)



Risk Reviews Overdue



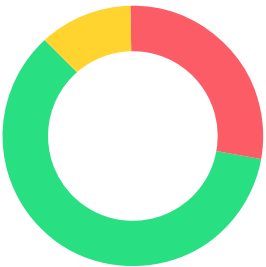
Control Reviews Overdue



Actions Overdue

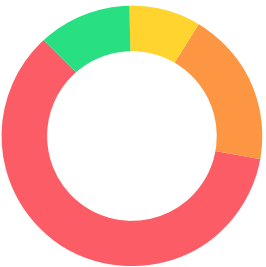


Risk Movement Summary



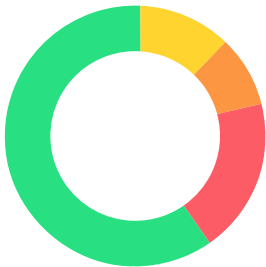
- 12 Risks Increased
- 36 Risks Decreased
- 4 Risks with no movement

Risk Review Status



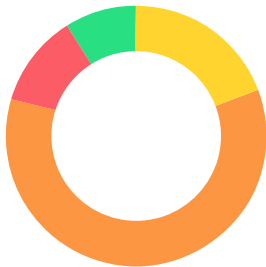
- 3 Due within 30 days
- 2 Overdue by less than 30 days
- 8 Overdue by 30 - 60 days
- 23 Overdue over 60 days

Control Review Status



- 5 Due within 30 days
- 5 Overdue by less than 30 days
- 3 Overdue by 30 - 60 days
- 36 Overdue over 60 days

Action Due Date Status



- 4 Due within 30 days
- 15 Overdue by less than 30 days
- 60 Overdue by 30 - 60 days
- 6 Overdue over 60 days

Risks that have increased in Residual rating during period (29 Jul 2022 – 29 Aug 2022)

Risk Name	Risk Type	Responsible Officer	Inherent Rating	Prior Residual Rating	Residual Rating	Target Rating	Last Review Date	Next Review Date
OR2 - Inefficient document control process results in the accidental release of sensitive, confidential data Org Linkage: Organisation > Operations and IT, Organisation > Legal & General Category (Sub-Category): Brand (Corporate Relations and Partnerships), People / WHS	Operational	Andrea West	High <i>L: Possible</i>	Extreme <i>L: Possible</i> <i>C: Catastrophic</i>	High <i>L: Unlikely</i> <i>C: Catastrophic</i>	Moderate <i>L: Unlikely</i>	19-Jan-2022	10-Jan-2022
OR3 - Inefficient document control process results in the accidental release of sensitive, confidential data Org Linkage: Organisation > Operations and IT, Organisation > Legal & General Category (Sub-Category): Brand (Corporate Relations and Partnerships), People / WHS	Operational	Andrea West	Extreme <i>L: Possible</i> <i>C: Catastrophic</i>	Moderate <i>L: Unlikely</i>	High <i>L: Unlikely</i> <i>C: Catastrophic</i>	Extreme <i>L: Possible</i> <i>C: Catastrophic</i>	19-Jan-2022	10-Jan-2022

Risks that have decreased in Residual rating during period (29 Jul 2022 – 29 Aug 2022)

Risk Name	Risk Type	Responsible Officer	Inherent Rating	Prior Residual Rating	Residual Rating	Target Rating	Last Review Date	Next Review Date
OR2 - Inefficient document control process results in the accidental release of sensitive, confidential data Org Linkage: Organisation > Operations and IT, Organisation > Legal & General Category (Sub-Category): Brand (Corporate Relations and Partnerships), People / WHS	Operational	Andrea West	High <i>L: Possible</i>	Extreme <i>L: Possible</i> <i>C: Catastrophic</i>	High <i>L: Unlikely</i> <i>C: Catastrophic</i>	Moderate <i>L: Unlikely</i>	19-Jan-2022	10-Jan-2022
OR3 - Inefficient document control process results in the accidental release of sensitive, confidential data Org Linkage: Organisation > Operations and IT, Organisation > Legal & General Category (Sub-Category): Brand (Corporate Relations and Partnerships), People / WHS	Operational	Andrea West	Extreme <i>L: Possible</i> <i>C: Catastrophic</i>	Moderate <i>L: Unlikely</i>	High <i>L: Unlikely</i> <i>C: Catastrophic</i>	Extreme <i>L: Possible</i> <i>C: Catastrophic</i>	19-Jan-2022	10-Jan-2022

Risks created during the reporting period (29 Jul 2022 – 29 Aug 2022)

Risk Name	Risk Type	Responsible Officer	Risk Created Date	Inherent Rating	Residual Rating	Target Rating	Next Review Date
PR23 - Executive turnover disrupts project Org Linkage: Not Linked Category (Sub-Category): Provision of Service / Performance	Project	Joe Smith	10-Jan-2022	Extreme	High	Moderate	16-Dec-2022
OR7 - Failure of critical Enterprise ICT System(s) and / or Application(s) Org Linkage: Organisation > Operations and IT, Organisation > Operations and IT > Systems Category (Sub-Category): Brand (Public Relations)	Operational	Jack Watts	10-Jan-2022	High	Moderate	Extreme	16-Dec-2022
PR25 - Project team misunderstand requirements Org Linkage: Not Linked Category (Sub-Category): Service Delivery	Project	Joe Smith	10-Jan-2022	Extreme	High	Extreme	16-Dec-2022
OR1 - Systems - Unauthorized access to company information Org Linkage: Organisation > Operations and IT, Organisation > Corporate Strategy > Finance, Organisation > Legal & General Category (Sub-Category): Financial (Revenue & Costs) (Expenditure Management)	Operational	Jack Watts	10-Jan-2022	Moderate	High	Moderate	16-Dec-2022
PR 56 - Budget Constraints Org Linkage: Not Linked Category (Sub-Category): Financial (Revenue & Costs)	Project	Madeline Jones	10-Jan-2022	Extreme	High	Moderate	16-Dec-2022

Risks overdue for review

Risk Name	Risk Type	Responsible Officer	Inherent Rating	Residual Rating	Target Rating	Last Review Date	Next Review Date	Days Overdue
SR3 - Efficiency caused by procedural flexibility Org Linkage: Organisation>Operations and IT>Support, Organisation>Operations and IT Category (Sub-Category): Service Delivery	Strategic	Elizabeth McMahon	Extreme	High	Moderate	15-Dec-2021	10-May-2022	111
PR23 - Executive turnover disrupts project Org Linkage: Organisation>Marketing>PR and Branding>Brand Category (Sub-Category): Provision of Service / Performance	Project	Elizabeth McMahon	High	Extreme	Moderate	15-Dec-2021	10-May-2022	256
PR3 - Employee data being hacked by an unauthorized access Org Linkage: Organisation>Corporate Strategy>Finance>Accounts Receivable Category (Sub-Category): Business Continuity	Project	Madeline Jones	Moderate	Extreme	High	29-Jun-2022	16-Aug-2022	13
IT4 - Completed projects shortchanging security and controls Org Linkage: Organisation>Corporate Strategy, Organisation>Corporate Strategy >Analysis and Planning, Organisation>Corporate Strategy>Finance Category (Sub-Category): Business Continuity	Corporate	Joe Smith	High	Extreme	Moderate	29-Jun-2022	16-Aug-2022	11
IT5 - Failure to comply with corporate IT policies and controls Org Linkage: Organisation>Legal & General Category (Sub-Category): Business Continuity	Corporateic	Andrew James	Moderate	High	Low	06-Jun-2022	20-Jun-2022	96

Risks with no controls

Risk Name	Risk Type	Responsible Officer	Inherent Rating	Residual Rating	Target Rating	Next Review Date
PR23 - Executive turnover disrupts project Org Linkage: Organisation > Marketing > PR and Branding > Brand Category (Sub-Category): Provision of Service / Performance	Project	Elizabeth McMahon	Moderate	High	Moderate	10-May-2022
PR3 - Employee data being hacked by an unauthorized access Org Linkage: Organisation > Corporate Strategy > Finance > Accounts Receivable Category (Sub-Category): Business Continuity	Project	Elizabeth McMahon	High	Extreme	High	10-May-2022
PR4 - Incorrect Payment of Public Hospital Claims Org Linkage: Organisation > Corporate Strategy > Finance > Accounts Receivable Category (Sub-Category): Financial (Revenue & Costs)	Project	Madeline Jones	Moderate	Extreme	High	16-Aug-2022
PR1 - Low Product quality and safety from the third party vendors Org Linkage: Organisation > Corporate Strategy > Finance > Accounts Receivable Category (Sub-Category): Environmental	Project	Joe Smith	Extreme	High	Moderate	16-Aug-2022
PR25 - Project team misunderstand requirements Org Linkage: Organisation > Marketing > PR and Branding > Brand Category (Sub-Category): Service Delivery	Project	Andrew James	High	Moderate	High	20-Jun-2022
PR3 - Timeline slippage Org Linkage: Organisation > Corporate Strategy > Finance > Accounts Payable Category (Sub-Category): Provision of Service / Performance	Project	Joe Smith	Extreme	High	Low	16-Aug-2022
PR2 - Inappropriate overriding of assessing messages Org Linkage: Organisation > Corporate Strategy > Finance > Accounts Receivable Category (Sub-Category): Service Delivery	Project	Andrew James	High	Extreme	Moderate	20-Jun-2022

Controls overdue for review

Control Title	Control Type	Control Owner	Control Owner Rating	Control Authoriser	Control Authoriser Rating	Last Review Date	Next Review Date	Days Overdue
LexisNexis Database	Preventive	Joe Smith	Substantially Effective	Joe Smith	Substantially Effective	15-Dec-2021	10-May-2022	1179
Linked Records Risks: IT4 - Completed projects shortchanging security and controls Obligations: CMS2 - Using and Disclosing Personal Information and Identifiers								
Insufficient resources available to perform	Preventive	Joe Smith	Partially Effective	Joe Smith	Partially Effective	15-Dec-2021	10-May-2022	948
Linked Records Risks: IT5 - Failure to comply with corporate IT policies and controls Risks: IT4 - Completed projects shortchanging security and controls								
Proper knowledge on handling the legal and regulatory compliance	Preventive	Susan Wright	Partially Effective	Susan Wright	Partially Effective	15-Dec-2021	10-May-2022	942
Linked Records Incidents: IT4 - Completed projects shortchanging security and controls Authority Documents: AD1 - Planning Act 2016								
Monitor and Control duplicative systems, licensing and support issues	Preventive	Susan Wright	Substantially Effective	Susan Wright	Substantially Effective	15-Dec-2021	10-May-2022	826
Linked Records Risks: IT5 - Failure to comply with corporate IT policies and controls Policies: PO1 - Gender Equality Policy								

Actions scheduled for completion in the next 30 days

Action Title	Action Owner	Action Status	% Completed	Performance	Start Date	End Date	Due in (Days)	Linked Records
Action Title A Lorem Ipsum Sample Action Name	Aaron Smith	In Progress	55	Off Track	04-Mar-2021	29-Feb-2022	6	OR17 - Risk A Lorem Ipsum OBI - Obligation A Lorem Ipsum
Action Title B Lorem Ipsum Sample Action Name	Aaron Smith	In Progress	55	On Track	04-Mar-2021	29-Feb-2022	6	OR17 - Risk A Lorem Ipsum OBI - Obligation A Lorem Ipsum
Action Title C Lorem Ipsum Sample Action Name	Aaron Smith	In Progress	55	Monitor	04-Mar-2021	29-Feb-2022	6	OR17 - Risk A Lorem Ipsum OBI - Obligation A Lorem Ipsum

Actions overdue for completion

Action Title	Action Owner	Action Status	% Completed	Performance	Start Date	End Date	Due in (Days)	Linked Records
Implementation of a Compliance management system	Elizabeth McMahon	In Progress	51	Off Track	04-Mar-2019	29-Feb-2020	912	IT4 - Completed projects shortchanging security and controls
Develop and Implement policies to address the risk of people bringing personal devices to work.	Elizabeth McMahon	In Progress	60	Off Track	14-Feb-2019	11-May-2020	840	IT2 - Unauthorised access of data stored in our internal systems
Encryption to be installed on all company laptops, mobile devices and removable media	Elizabeth McMahon	In Progress	75	On Track	26-May-2020	17-Oct-2020	861	IT2 - Unauthorised access of data stored in our internal systems
Implement and enforce a policy prohibiting employees from keeping working papers, passwords or any sensitive documents in view while they are away from their desks	Elizabeth McMahon	In Progress	67	On Track	16-May-2019	31-Jul-2021	394	IT2 - Unauthorised access of data stored in our internal systems
Build controls into projects	Elizabeth McMahon	In Progress	10	Off Track	27-May-2020	14-Aug-2021	380	IT6 - Failure to appropriately implement business continuity program/policy
Engage with an expert consultant for guidance	Elizabeth McMahon	In Progress	64	Monitor	09-Nov-2019	14-Aug-2021	380	IT5 - Failure to comply with corporate IT policies and controls

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